5325-0166.30

PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Complete if Known Application Number 09/685,940 10/10/2000 First Named Inventor Shi Kun Huang 1615 Unknown

PT& TRADE

Substitute for form 1449A/PTO

RMATION DISCLOSURE EMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

	U.S. PATENT DOCUMENTS						
Examiner nitials	Cite No. ¹	U.S. Patent I	Patent Document Name of Patentee or Applicant		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
la	1 A	5,013,556		Liposome Technology, Inc.	05/07/1991		
1/1	2Å	5,395,619		Liposome Technology, Inc.	03/07/1995		
-h.	3A	5,631,018		Sequus Pharmaceuticals, Inc	05/20/1997		
						· · · · · · · · · · · · · · · · · · ·	
					-		

Filing Date

Group Art Unit

Examiner Name

Attorney Docket Number

	FOREIGN PATENT DOCUMENTS									
Examiner Initials	Cite No.1	Office ³	Foreign Patent Docu Number ⁴	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Te		
1 1	1B	WO	97/07784 A2		Texas University	03/06/1997				
1/1	See	Attac	1							
								╀-		
		-						╁		
							* ***	+-		
								1		

ſ	Examiner	//	Date	7/27	
l	Signature	hul	Considered	1100	

¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please	type a	plus	sign	(+)	inside	this	box
--------	--------	------	------	-----	--------	------	-----

PTO/SB/08A (10-96)
Approved for through 10/31/99, OMB 0651-0031
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Off TO.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

C		
Application Number	09/685,940	Ŧ
Filing Date	10/10/2000	- CH
First Named Inventor	Shi Kun Huang	3
Group Art Unit	1615	<u> </u>
Examiner Name	Unknown	
Attorney Docket Number	5325-0166.30sup	6 5

						22	
Examiner Cite U.S. Patent No.1 Number		Kind Code ² (if known)	of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
Til	1 A	5,891,468		Seguus Pharmaceuticals	04/06/1999		
700	2A	5,891,468 5,965,434		Jon A. Wolff et al.	10/12/1999		
				-			
						· · · · · · · · · · · · · · · · · · ·	
	-						
	·						

Г	FOREIGN PATENT DOCUMENTS									
Exa Initi	miner als	Cite No. ¹	Office	Foreign Patent Do Number ⁴	cument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
	M	1B	wo	01/26625		ALZA Corporation	04/19/2001			
	M	2B	wo	00/30444		Vanderbilt Univers	06/02/2000			
	W	3B	WO	97/00241		Boehringer Mannhei	01/03/1997			
_		ļ								
┡		ļ							 	
\vdash							 		\vdash	
\vdash		ļ <u>.</u>	 						H	
\vdash			 						+	

				<u> </u>	
Examiner Signature	And	Date Considered	7/	12	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-96)

Approved for enthrough 10/31/99. OMB 0651-0031

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless. it contains a valid OMB control number.

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of | 1 Sheet

Complete if Known				
Application Number	09/685,940	_		
Filing Date	10/10/2000			
First Named Inventor	Shi Kun Huang			
Group Art Unit	1615			
Examiner Name	Unknown			
Attorney Docket Number	5325-0166.30			

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
h	1C	CONNOR ET AL., "," Proc. Nat'l. Acad. Sci. (USA), p. 1715, (June 28, 1984).				
	2C	CHU AND SZOKA, "J. Liposome Res.," Vol. 4 (No. 1), p. 361, (June 28, 1994).				
	3C	SZOKA ET AL., "," Ann. Rev. Biophys. Bioeng., p. 467, (June 28, 1980).	<u> </u>			
	4C	ZALIPSKY, S., "Stealth Liposomes, Chapter 9," CRC Press, (June 28, 1995).	_			
	5C	ALLEN, T.M. ET AL., "," Biochemicia et Biophysica Acta, p. 99-108, (June 28, 1995).				
	6C	ZALIPSKY, S., "," Bioconjugate Chem., Vol. 4 (No. 4), p. 296-299, (June 28, 1993).	-			
	7C	ZALIPSKY, S. ET AL., "," FEBS Lett., p. 71-74, (June 28, 1994).	-			
	8C	ZALIPSKY, S. ET AL., "," Bioconjugate Chem., p. 705-708, (June 28, 1995).				
		MARTIN, F. J., "Specialized Drug Del. Systems-Manuf. & Prod. Tech.," p. 267-316, (June 28, 1990).				
/						
<u> </u>	10C	XU AND SZOKA, "," Biochemistry, p. 5616-5623, (June 28, 1996).	<u> </u>			

Examiner	W	Date	71	or:
Signature		Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, CC 20231.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.